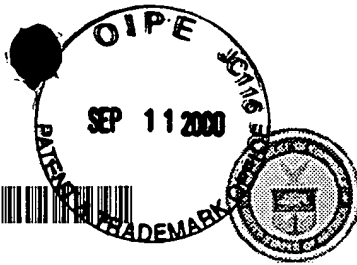


#3

FORMALITIES LETTER



OC00000005326351

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/587,619	06/05/2000	James P. Coppola III	TRD-001XX

207

WEINGARTEN, SCHURGIN, GAGNEBIN
& HAYES, LLP
TEN POST OFFICE SQUARE
BOSTON, MA 02109

Date Mailed: 08/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$174.
 - \$18 for 1 total claims over 20.
 - \$156 for 2 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 994.**

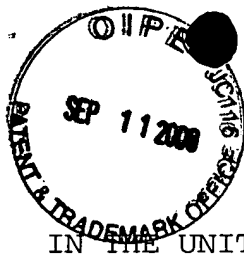
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/13/2000 SDENB001 00000049 09587619
 01 FC:201 345.00 OP
 02 FC:203 9.00 OP
 03 FC:202 78.00 OP
 04 FC:205 65.00 OP



Patent

Sector
#113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : James P. Coppola III
Application No. : 09/587,619
Filed : June 5, 2000
For : PORTFOLIO ACCOUNTING AND RISK
MANAGEMENT SYSTEM
Attorney's Docket : TRD-001XX

Group Art Unit: 2761

* * * * *

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on September 8, 2000.

By:

Beverly E. Hjorth
Beverly E. Hjorth
Registration No. 32,033
Attorney for Applicant

* * * * *

LETTER

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice dated August 16, 2000 (copy enclosed), enclosed please find:

- ☒ Missing parts fee in the amount of \$65.00.
- ☒ Filing fee in the amount of \$432.00.
- ☒ Signed Declaration.

Application No: 09/587,619
Filed: June 5, 2000
Group Art Unit: 2761

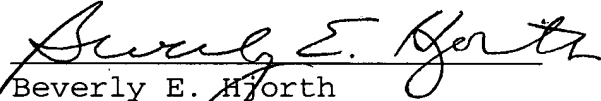
- ☒ Signed Verified Statement as Small Entity form (from provisional application).
- ☐ A Petition for Extension of Time for ____ month(s) is hereby made, under provisions of §1.136(a); a check in the amount of \$_____ is enclosed per §1.17.
- ☐ Other:

In the event any additional fee is required, please charge such amount to Patent and Trademark Office Deposit Account No. 23-0804. A triplicate of this transmittal letter is enclosed.

Respectfully submitted,

JAMES P. COPPOLA III

By:


Beverly E. Horth
Registration No. 32,033
Attorney for Applicant

WEINGARTEN, SCHURGIN,
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Ten Post Office Square
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Telecopier: (617) 451-0313

Date: September 8, 2000

BEH/jde/233312
Enclosures